
The Global Bond Fund

A SUB-FUND OF THE MULTI-MANAGER UCITS PLATFORM FUND PLC

Application Form

1. Complete and sign this Application Form

2. Pay the subscription amount by bank transfer to the relevant account as specified in the BANK REMITTANCE (pg 5)

3. Provide those documents required under
APPENDIX I – INTRODUCTION CERTIFICATE
APPENDIX II – MONEY LAUNDERING VERIFICATION DOCUMENTS AND SELF-CONFIRMATIONS
APPENDIX III – FATCA SELF-CERTIFICATIONS

4. Submit the above documentation to the Administrator:

Apex Fund Services (Ireland) Limited

Electronic copies - apexta@apexfunds.ie

Original copies - Apex Fund Services (Ireland) Limited

Level 2, Block 5, Irish Life Centre, Abbey Street Lower, Dublin, D01 P767, Ireland

Tel: +353 1 411 2949 Fax: +353 1 411 2948

The applicant is strongly recommended to read and consider the Fund Prospectus and Key Investor Information Document (KIID), before completing this Application Form. By completing and signing this Application, you will be deemed to have received and read the Fund Prospectus and KIID.

This Application Form once duly completed and signed by you and accepted by or on behalf of the Fund, constitutes a binding and legal document between the Fund and you and forms the basis, in addition to the Prospectus and KIID, on which you agree to make and invest your commitment and your subscription for Shares.

INDIVIDUAL INVESTOR

Individual Information	
Name	
Date of Birth	
Place of Birth	
Country of Residence	
Passport Number	
Registered Address	
Telephone Number	
Email Address	
Source of Funds	
Joint Applicant Information (if applicable- for joint application by an individual)	
Name	
Date of Birth	
Place of Birth	
Country of Residence	
Passport Number	
Registered Address	
Telephone Number	
Email Address	
Source of Funds	

Please note that where an additional Applicant is involved this legally vests ownership with both parties jointly. In all instances BOTH signatures are required on any instruction. Applicants are urged to familiarise themselves with the implications of such joint ownership on death of one or both parties.

LEGAL ENTITY (COMPANY/ TRUST/PARTNERSHIP)

Legal Entity Information		
Registered Name		
Trading Name		
Registration Number		
Country of Incorporation		
Registered Address		
Telephone Number		
Fax Number		
Email Address		
Name of Directors / Partners / Settlor / Founders / Beneficiaries	Name:	Designation:
Source of Funds		

Contact Details of Primary Authorised Representative of Legal Entity	
Name	
Date of Birth	
Place of Birth	
Country of Residence	
Passport Number	
Registered Address	
Telephone Number	
Email Address	

BANKING INFORMATION (NOTE THESE BANKING DETAILS WILL BE USED FOR REDEMPTIONS)

Bank Name	
Bank Address	
Account Name	
Sort Code	
Account Number	
Swift / ABA / FED / IBAN	
Currency	
Please note that due to Anti Money Laundering requirements payments will only be made to the bank account of the registered investor	

AUTHORISATIONS

Signatories authorised to provide instructions

Name							
Email Address							
Signature							
Name							
Email Address							
Signature							
Name							
Email Address							
Signature							
A valid instruction requires the signature(s) of the following authorised signatories (please select)							
Any one of all	<input type="checkbox"/>	Any two of all	<input type="checkbox"/>	Any three of all	<input type="checkbox"/>	All	<input type="checkbox"/>

Persons authorised to receive investment information

Name	
Email Address	
Name	
Email Address	

INVESTMENT COMMITMENT AND SUBSCRIPTION

I hereby commit to invest in the Fund the amount indicated in the table below and the currency in which I wish to subscribe, and undertake to make available and invest my Commitment by way of subscription for Shares, subject to, and in accordance with, the terms and conditions set out in this Application Form, the Prospectus and the KIID.

Issuer Name	ISIN Group	Description	Currency	ISIN Code	Total Investment
The Multi-Manager UCITS Platform Fund PLC	Global Bond Fund	Retail Shares EUR	EUR	IE00BK8XQ915	
The Multi-Manager UCITS Platform Fund PLC	Global Bond Fund	Institutional Shares GBP	GBP	IE00BK8XQG86	
The Multi-Manager UCITS Platform Fund PLC	Global Bond Fund	Retail Shares GBP	GBP	IE00BK8XQK23	
The Multi-Manager UCITS Platform Fund PLC	Global Bond Fund	Institutional "O" Shares GBP	GBP	IE00BK8XQH93	
The Multi-Manager UCITS Platform Fund PLC	Global Bond Fund	Retail "O" Shares GBP	GBP	IE00BK8XQJ18	

BANK REMITTANCE INFORMATION

GBP ACCOUNT

Correspondent Bank:	BARCLAYS BANK LONDON
Correspondent SWIFT Code:	BARCGB22
Beneficiary Bank:	SOCIETE GENERALE PARIS
Swift:	SOGEFRPPAFI
IBAN:	FR7630003056050380114219879
Beneficiary Account Name:	THE GLOBAL BOND FUND COLL GBP
Reference:	Name of Fund - Applicant

EUR ACCOUNT

Correspondent Bank:	SOCIETE GENERALE PARIS
Correspondent SWIFT Code:	SOGEFRPP
Beneficiary Bank:	SOCIETE GENERALE PARIS
Swift:	SOGEFRPPAFI
IBAN:	FR7630003056050000114219801
Beneficiary Account Name:	THE GLOBAL BOND FUND COLL EUR
Reference:	Name of Fund - Applicant

DECLARATIONS WARRANTIES AND SIGNATURES

1. GENERAL

1.1 These Terms and Conditions relate to the subscription of Shares in the Fund and the applicant(s) agree(s) to be bound by these Terms and Conditions and the Prospectus.

1.2 The Company and the Administrator reserve the right to reject any application in whole or in part which is not completed in full and supported by the documentation requested and cleared monies, or for any other reasons at their sole discretion. Failure to attach all documentation requested may result in a delay in the transaction being processed.

1.3 The Company and the Administrator reserve the right to request additional information and documentation, including but not limited to, translations and certifications relating to such additional requests from the applicant(s) and existing investors in compliance with legislation and regulations in force from time to time.

2. PREVENTION OF MONEY LAUNDERING

2.1 All applicants must attach to this Application Form all the relevant documentation requested. Please refer to the Appendix I (for Distributors and nominees) and Appendix II for full details of the Company and the Administrator's requirements.

2.2 All applicants understand that due to the changing nature of anti-money laundering laws and regulations and the possible extensions of applicable rules, the Company and the Administrator may update and amend its procedures from time to time to comply with such amendments.

2.3 In compliance with applicable anti-money laundering rules and regulations, the Company and the Administrator may require further identification of the applicant(s) or an existing investor before the application can be processed or any redemption proceeds can be paid out. Note that no redemption proceeds will be paid out unless or until all anti-money laundering documentation has been received by the Company and the Administrator.

2.4 Subscription monies from a third party will not be accepted. Redemption proceeds cannot be paid other than to the account of record as detailed above.

2.5 I/we understand that subscription monies paid by me/us into a subscription/redemption account will become the property of the Fund upon receipt and during the period between receipt of subscription monies and the Dealing Day on which Shares are issued, I/we will be treated as an unsecured creditor of the Fund.

2.6 I/we understand that any redemption proceeds held for any time in a subscription/redemption account shall remain an asset of the Fund. In the event of the insolvency of the Company or the Fund, I/we will rank as an unsecured creditor of the Company until such time as the Administrator is satisfied that its anti-money-laundering procedures have been fully complied with, following which redemption proceeds will be released to me/us.

3. JOINT APPLICANTS

3.1 If more than one person is named in a single Application Form, all applicants will be considered as joint applicants. As such, they authorise the Company and the Administrator to act and rely on the signed or purportedly signed instructions of any one of the applicants without liability with respect to any transfer, payment or other act made or done or omitted to be done in accordance with such instructions.

3.2 The different joint holders shall determine between them, by separate agreement, the rights of any joint account holder on the account. The Company and the Administrator may at any time request each joint account holder to inform it about this

determination. Under no circumstances can the knowledge that the Company and the Administrator has about the distribution of assets between the account holders of a joint account be used against the Company or Administrator in any way.

3.3 The applicants hereby confirm that upon the death of any of the undersigned, this individual signatory power will continue to be in force the Company and the Administrator may rely and act without liability on any instruction including the transfer or redemption of the Shares signed by the survivor(s) unless the Company or the Administrator has been informed in writing of the contrary.

3.4 Unless otherwise advised in writing, all notices and communications shall be addressed and all payments directed to the first applicant specified in section 1 of the present Application Form (the "First Applicant").

4. POWER OF ATTORNEY

Where a Power of Attorney Form is required, a certified copy must be provided, and such Power of Attorney Form shall form an integral part of these Terms and Conditions.

5. APPLICATION BY A PERSON UNDER THE AGE OF 18 YEARS OLD

No person under the age of 18 may apply for Shares in the Company.

6. INDEMNITY

6.1 The applicant(s) will indemnify upon first demand the Company and the Administrator and any other delegates against any actions, proceedings, claims, losses, damages, costs and expenses, subject to a maximum of the value of the applicant's total investment in the Company, which may be brought against, suffered or incurred by them arising either directly or indirectly out of or in connection with a breach by the applicant(s) of these Terms and Conditions or out of anything done or omitted by the Company or the Administrator pursuant to any instructions given by fax or email or out of the Company or Administrator relying on, accepting or failing to act on any instruction or declaration or information given by or on behalf of the applicant(s) unless due to the wilful default, gross negligence or fraud of the Company or the Administrator.

6.2 The Company will indemnify the applicant for direct damages solely to the extent of its gross negligence, wilful misconduct or fraud. The Company will in no way indemnify indirect or consequential damages suffered by the applicant(s).

6.3 Fax and e-mail indemnity: Instructions may initially be sent by fax, the original Application Form etc. to follow by mail or courier to the above address, advising electronic instructions have already been given. Redemptions and dividends will be processed on receipt of fax/electronic instructions but redemption and dividend proceeds will not be paid out until original documents are received. The Administrator is authorised to act on any fax or e-mail instructions without verification which it in its sole discretion believes emanates from a Shareholder and it shall not be liable for and will not be liable for any failure in acting on any of the instructions by reason of breakdown, delay or failure of transmission or communication facilities or breakdown of or error in transmission or communication for any other reason, unless due to the Administrator's wilful default, gross negligence or fraud.

6.4 Each applicant for Shares acknowledges that neither fax nor email is a secure means of communicating with or giving instructions to the Administrator and confirms that he/she is aware of the risks involved in communicating in this way and that a request to act on any instruction given by fax or e-mail is for his/her convenience only and he/she hereby indemnifies the Administrator against any claims and hold it harmless from all actions, proceedings, claims, loss, damage, costs (including without limitation, interest and legal fees), charges and expenses, subject to a maximum of the value of the applicant's total investment in the Company, which may be brought against it or suffered or incurred by it and which shall have arisen either directly or indirectly out of or in connection with it accepting Instructions by fax or e-mail and acting thereon, unless due to the Administrator's wilful default, gross negligence or fraud;

6.5 Each applicant hereby undertakes to immediately send the Administrator the original of the Application Form, and acknowledges that the non-receipt by the Administrator of such written confirmation shall in no way invalidate the authority or indemnity provided by the Shareholder.

7. DATA PROTECTION

In accordance the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (collectively, "Data Protection Legislation"), the Fund being a data controller, must provide you with information on how the personal data that you provide as part of your subscription to shares in the Fund will be processed.

In accordance with the provisions of the Data Protection Legislation, I/we acknowledge and are informed that personal data given in this Application Form (or otherwise provided in connection with an application to subscribe for Shares in the Fund, on application or at any other time, including without limitation my/our name, age, contact details, bank account details, transactions and the invested amount, and any information regarding the dealing in Shares (subscription, conversion, redemption and transfer) (the "Personal Data"), will be collected, recorded, stored, adapted, transferred and processed, by electronic means or otherwise, by the Fund as a "data controller" under the Data Protection Legislation, and as further described in the Fund's Data Privacy Statement, which is set out at Appendix IV below and is otherwise available upon request.

8. SUBSCRIPTION ORDERS

Upon receipt of the completed Application Form and the full subscription amount, the Administrator will issue Shares in the Fund in the name of the applicant(s).

9. ELECTRONIC DELIVERY OF REPORTS AND OTHER COMMUNICATIONS

9.1 I/we confirm that we consent to and accept that, the Company, the Manager, the Distributer and/or the Administrator, acting on their behalf, may provide me/us (or our designated agents) with statements, reports and other communications relating to the Company and/or my/our investment in the Company, in electronic form, such as e-mail (to the address you have provided on this form). I/we note that e-mail messages may not be secure and may contain computer viruses or other defects, may not be accurately replicated on other systems, or may be intercepted, deleted or interfered with without the knowledge of the sender or the intended recipient. I/we note that the Administrator makes no warranties in relation to these matters. I/we also note that the Administrator reserves the right to intercept, monitor and retain e-mail messages to and from its systems as permitted by applicable law. I/we confirm that if I/we have any doubts about the authenticity of an e-mail purportedly sent by the Company, the Manager, the Distributer or the Administrator, I/we will contact the purported sender immediately.

9.2 All notices, reports, statements, documents and communications sent to the address of the first applicant are deemed to have been effected to all the applicants on the date sent to the first applicant's address.

9.3 The applicant(s) shall check the accuracy of the details contained in the contract note and statements of accounts sent by the Administrator. Failure to report any inaccuracy within 30 business days of their dispatch will result in the confirmation details to be deemed accurate by the applicant(s).

10. EU SAVINGS DIRECTIVE

I/we acknowledge that information relating to my/our holding of shares in the Company, and/or details of income arising from such holdings may be subject to disclosure to any relevant tax authority in accordance with the terms of the EU Taxation of Savings Directive (2003/48/EC) (the "ESD") and I/we hereby consent to such disclosure by the Administrator or any person deemed to be a paying agent for the purposes of the ESD (the "Paying Agent"). I/We confirm that we will promptly advise the Administrator of any amendments to information which may be relevant for the purposes of the ESD, including my/our name(s), address (es) tax identification number and country of residence for tax purposes. I/We hereby agree to indemnify the Paying Agent against any and all loss, liability, costs and expenses (including without limitation legal fees, taxes and penalties), subject to a maximum of the value of the my/our total investment in the Company, which may arise directly or indirectly from my/our failure to provide the requisite information or where the information provided is incorrect or where I/we have failed to update information previously provided, unless due to the wilful default, gross negligence or fraud of the Paying Agent.

11. LATE TRADING AND MARKET TIMING

The Administrator shall not permit transactions which it knows to be nor has reason to believe to be related to late trading or market timing practices and shall report those transactions to the Distributor.

NOTE: Investment in the Fund is intended for medium to long-term purposes only. Excessive, short-term (or market timing) or other abusive trading practices may disrupt portfolio management strategies, increase expenses and harm Fund performance for all Shareholders and the Company will take all reasonable steps to prevent such activity. To minimise harm to a Fund and its Shareholders, the Administrator, working in conjunction with the designated money laundering reporting officer, reserves the right to reject any subscription (including any transfer) from any investor whom it believes has a history of abusive trading or whose trading, in its judgement, has been or may be disruptive to a Fund. In making this judgement, the Company may consider trading done in multiple accounts under common ownership or control.

12. TELEPHONE RECORDING

The applicant(s) specifically accept(s) that telephone-recording procedures may be used and agree(s) that these records may be used in court or any legal proceeding, with the same value as written evidence.

13. FRAUDULENT USE OF SIGNATURE

13.1 Neither the Company nor the Administrator shall be liable for the fraudulent use by a third party of the applicant's signature, whether this signature be authentic or forged ("Fraudulent Instruction").

13.2 Except in the event of gross negligence on their part, the Company and/or the Administrator shall not be liable for any damage, loss, expense or liability of any nature which the applicant(s) may suffer due to the reliance by the Company and/or the Administrator on a Fraudulent Instruction which the Company and/or Administrator believe in good faith to be genuine and to have been given or signed by the applicant(s).

14. KEY INVESTOR INFORMATION DOCUMENT

14.1 I/We hereby represent that I/we have regular access to the internet and acknowledge and agree that the provision of the KIID by way of email or website is appropriate in the context in which the business between the Company, its delegate(s) and me/us is, or is to be, carried on and that the provision by me/us of my/our email address to the Company or its delegate(s) is evidence of this.

14.2 I/we acknowledge that I/we have been offered the choice of receiving the KIID on paper and in electronic form by means of a website or by email and hereby specifically consent to receiving the KIID in electronic form by way of email or by accessing the latest version of the document online at www.egifunds.com or (or such other website address as may be notified to the me/us from time to time) (the "KIID Website").

14.3 I/We hereby confirm that I/we have also been notified electronically of this website address and the place where on the website the KIID can be accessed.

14.4 I/We hereby acknowledge that I/we have received or accessed by electronic means the KIID. I/We also consent to accessing the KIID by electronic means before making any subsequent and/or future subscriptions for Shares in any share class.

14.5 I/We acknowledge that the KIID shall be reviewed at least every 12 months and that the up-to-date version of the KIID at any given time shall be made available on the KIID Website. I/We agree that I/we will inspect the KIID by accessing the KIID Website in good time before making any subsequent and/or future subscriptions for Shares in any Class. I/We acknowledge and agree that I/we bear sole responsibility for ensuring that I/we have inspected the up-to-date version of the KIID and that, in any event, I/we consent to be, and shall be deemed to have been, provided with the up-to-date KIID by means of the KIID Website in good time before any subsequent or future subscriptions.

14.6 Where I/we are acquiring the Shares for or on behalf of any person in my/our capacity as a sub-distributor of the Company (an "Intermediary"), I/we certify that prior to accepting any order for the acquisition of shares, I/we will ensure that the investor

will be provided with the current KIID for the relevant share class. Where permitted by applicable law, “provided” shall include informing the investor of the applicable website where the KIID may be obtained, which is www.egifunds.com or (or such other website address as may be notified to me/us from time to time).

14.7 I/We acknowledge that I/we may at any time request a hard copy of any such documentation from the Company free of charge and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically.

15. AMENDMENTS TO THE TERMS AND CONDITIONS

The Company reserves the right at any time to modify these Terms and Conditions. The Company shall inform the applicant (s) in writing of all amendments to these Terms and Conditions. Such modifications shall be deemed to be accepted by the applicant(s) if the applicant(s) does not raise an objection in writing to the proposed amendments, within two weeks from the date of the notification.

16. SEVERANCE

If any provision or clause of these Terms and Conditions is or becomes void or unenforceable in whole or in part for any reason such enforceability or invalidity shall not affect the validity of the remaining Terms and Conditions. Corresponding Terms and Conditions must replace the invalid Terms and Conditions.

17. APPLICABLE LAW

The laws of Ireland shall govern the validity and construction of these Terms and Conditions and the parties agree to be bound by the exclusive jurisdiction of the courts of Ireland.

18. FINAL PROVISION

These Terms and Conditions form an integral part of the Application Form, which applicant(s) declare having accepted by signing this Application Form.

SIGNATURES

Name	Signature	Date
Name	Signature	Date

APPENDIX I – INTRODUCTION CERTIFICATE

INTRODUCTION CERTIFICATE (To be completed on the Introducer's letterhead)

Apex Fund Services (Ireland) Limited
Level 2, Block 5, Irish Life Centre
Abbey Street Lower
Dublin, D01 P767
Ireland

Re: All investors introduced by [name of distributor/nominee/3rd party] (the "Investors") to Apex Fund Services (Ireland) Limited ("Apex") in their capacity as registrar and transfer agent to funds administered by it (the "Funds")

Dear Sirs,

[enter name of Distributor/Nominee/3rd Party] (the "Introducer") writes to confirm the following in connection with all Investors, where such investors appear directly on the shareholder/unitholder register(s) of Funds for shares/units in Funds for which Apex acts as registrar and transfer agent:

1. The Introducer confirms that it is regulated by [Insert Regulator Name] and applies anti-money laundering policies and procedures which are in line with those of local anti-money laundering legislation and regulation which in turn complies with measures detailed in the relevant EU Directives;
2. The Introducer confirms that all Investors have been identified to us and we will retain sufficient information on file to verify the identity of all such Investors;
3. Should the Introducer identify any politically exposed person ("PEP"), an immediate family member or close associate of a PEP or any person or entity resident in, or anyone whose subscriptions monies originate from a country designated as non-cooperative with international anti-money laundering principles or procedures by the Financial Action Task Force on Money Laundering, we confirm that we will provide Apex with certified true copies of the due diligence collected;
4. The Introducer will provide Apex with certified true copies, upon request, of all necessary documents and information related to Investors, which we have on our files. In the event that we are bound with confidentiality or professional secrecy rules, we will provide such information upon request of your competent control authority. The Introducer will provide any such reasonable assistance as Apex may request where a suspicion of money laundering by an Investor exists;
5. The Introducer confirms that it takes all reasonable steps necessary to ensure that the Fund does not accept or maintain any subscription funds from a foreign shell bank or from any person or entity named on the lists of known or suspected terrorists, terrorist organizations or other sanctioned persons;
6. The Introducer undertakes to retain such Investor identification documentation and information for a period of at least five years after the relationship with the Investor has ceased;
7. The Introducer confirms that it monitors investors and verifies that they are not black-listed or mentioned in official lists. The Introducer hereby undertakes to notify Apex immediately of any concerns that we may have in connection with Investors in the context of any applicable anti-money laundering or terrorist financing legislation/regulations or in the event of any suspicious circumstances relating to any Investors introduced by the Introducer to Apex.

Signed for and on behalf of

[enter name of Intermediary/nominee/3rd Party]

APPENDIX II – MONEY LAUNDERING VERIFICATION DOCUMENTS AND CONFIRMATIONS

In order to comply with Anti-Money laundering legislation the Fund (or the Administrator on behalf of the Fund) is required to obtain identity verification documents from each Subscriber.

Below is a list of anti-money laundering documentation to be provided by investors and is provided as a guide outlining simplified and standard due diligence requirements. Please note that the AML reviews are carried out on a risk based approach and depending on the risk category of your investment, additional AML documentation may be required. The administrator will confirm customer due diligence documentation requirements at account opening stage.

We require original certified true copies of all AML documentation. Certification requirements are included in Appendix 3 to this supplement.

Section 1. Simplified Due Diligence

A Subscriber qualifies for simplified due diligence from General Identification Requirements when one of the following conditions is met and the Subscriber's subscription proceeds have originated from an "Approved Country" ¹

A. Regulated Financial Institution:

Where the Subscriber is a Regulated Financial Institution regulated by an "Approved Regulator" ² or is a 100% owned subsidiary of such an entity;

I. Documentation Requirements for entities investing on their own behalf:

- Own behalf declaration confirming investing on own behalf
- Proof of regulation
- Copy of the Commercial Register/Certificate of Good Standing
- Copy of the authorised signatory list with specimen signatures on company letterhead
- Copy of the Structure chart or shareholders chart, including the % ownership and control
- UBO Declaration identifying individuals owning/controlling 25% or more (As set out in appendix 1 of this section)

II. Requirements for Regulated Entities investing on behalf of a third Party in addition to point (I):

- Declaration confirming investing on behalf of a third party
- AML Comfort Letter - please revert to Appendix 2 for the Apex template
- *In some instances, a Wolfsberg Questionnaire may be required

B. Nominees:

Where a subscriber is a nominee account with a Regulated Parent:

I. Documentation Requirements:

- Proof of regulation in a low risk Jurisdiction
- Certified true Copy or Original AML Comfort Letter - please revert to Appendix 2 for the Apex

¹ Please refer to the administrator for confirmation of approved countries

² Please refer to the administrator for confirmation of approved regulators

template

- *In some instances a Wolfsberg Questionnaire may be required
- Certified true copy of the authorised signatory list with specimen signatures on company letterhead
- Certified true copy signatories' ID where they have signed the application form & will place the orders
- Certified true copy of the Structure chart or shareholders chart, including the % of ownership and controlling
- UBO Declaration identifying individuals owning/controlling 25% or more (As set out in appendix 1 of this section)

C. Listed Entities + Subsidiaries of Listed Entities:

Where the Subscriber is quoted or listed on an "Approved Market"³ or Stock Exchange or is a 100% owned subsidiary of such an entity;

I. Documentation Requirements:

- Confirmation that investing on own behalf
- Proof of listing in a low risk jurisdiction
- Certified true copy of the authorised signatory list with specimen signatures on company letterhead
- Certified true copy signatories' ID where they have signed the application form & will place the orders
- Certified true copy of commercial register/Certificate of good standing
- Certified true copy of the Structure chart or shareholders chart, including the % of ownership and controlling
- UBO Declaration identifying individuals owning/controlling 25% or more (As set out in appendix 1 of this section)
-

II. For subsidiaries and in addition to point (I):

- Attach proof of listed parent ownership
- Certified true copy of certificate of incorporation or equivalent for subsidiary
- Certified true copy of memorandum and articles of association or equivalent constitutional documents for subsidiary

Section 2. General Identification Requirements: Standard Due Diligence

A. Entities:

Where a Subscriber is an entity that is domiciled/incorporated in an "Approved Country" and the Subscriber's subscription proceeds originate from an "Approved Country" ALL the following information and identification documentation must be forwarded with the subscription application;

Corporate Entities (General):

I. Documentation Requirements:

- Confirmation investing on own behalf
- Certified true copy of Certificate of Incorporation /Good Standing
- Certified true copy of Memorandum and Articles of Association or equivalent constitutional documents
- Certified true copy of the latest financial report or equivalent
- Certified true copy of the authorised signatory list with specimen signatures on company letterhead
- Certified true copies of the signatories' IDs who signed the application form & will place orders
- Certified true copy of the list of Directors/Members/Partners on letterhead paper of the Company
- Certified true Copy ID for at least 2 controllers (where a partnership - one these must be the GP)
- Certified true copy of the Structure chart or shareholders chart, including the % of ownership
- Complete "UBO Declaration" on behalf of the Entity –

*The declaration must be completed by an authorized individual from the Entity and on Entities letterhead.

- i. If there is a UBO with 25% or more shareholding the person must be identified as per section 2 (B)
- ii. In the case of an Entity, where the Managing Member is not an individual, the entity must also be identified as

³ Please refer to the administrator for confirmation of approved stock exchanges

per requirements in Section 2 (A).

Trusts:

II. Documentation Requirements:

- Certified true copy of the latest trust deed
- Certified true copy Signature List on company letterhead
- Certified true copy of the IDs of the signatories on the application form/who will place deals on the account
- Identification and verification of the identity of the Settlor and the Trustee according to their legal forms
- Identification and verification of the identity of the Protector if any, according to its legal forms
- Information on the Source of Wealth of the Settlor
- Certified true Copy Structure Chart/Shareholder Chart, showing the % ownership and control of all beneficial owners and controllers
 - UBO Declaration - Identifying all individuals owning or controlling any part of the trust if not available within the trust deed/structure chart
 - Certified true copy ID and proof of address (dated within the last 6 months) for any persons owning or controlling any part of the trust

B. Individuals:

Where a Subscriber is an Individual from an “Approved Country” and where the Subscriber’s subscription proceeds originate from an “Approved Country”. All the following information and identification documentation must be forwarded with the subscription application;

III. Information Requirements:

- Full Legal Name
- Date of Birth
- Place of Birth
- Residential Address, (including Country of Residence)
- Nationality
- Government ID Number
- Source of Wealth
- Signature

IV. Documentation Requirements:

- Certified true copy of a valid ID document (passport /driver’s license or other form of Government issued photo identification) bearing clear picture, expiry date and signature
 - Original OR certified true copy proof of residential address dated within the last six months, in the form of household utility bill or bank statement
 - Completed source of wealth declaration (please contact Administrator for the relevant template)

UBO Letter Confirmation

"LETTERHEAD"

"DATE"

Apex Fund Services (Ireland) Limited

2nd Floor, Block 5
Irish Life Centre
Abbey Street Lower
Dublin D01 P767
Ireland

Ultimate Beneficial Owner Declaration (UBO Declaration)

We confirm the below in regards to the "Name of Investor" :

** Please indicate by ticking the correct answer in regards to this Investor.*

NO Natural Person (Individual) owns/controls directly or indirectly 25% or more of the investment, as Beneficial Owner.

One or more Natural Person(s) (Individual) owns/controls directly or indirectly 25% or more of the investment as beneficial owner, required details listed below:

** If you require more space, please provide the same information as detailed below on a separate sheet.*

Natural Person

Full Legal Name:

Date of Birth:

Nationality:

Occupation:

Source of Wealth/Funds:

Natural Person

Full Legal Name:

Date of Birth:

Nationality:

Occupation:

Source of Wealth/Funds:

** Further information on Ultimate Beneficial Ownership information may be requested.*

One Natural Person (Individual) with significant responsibility for managing the legal entity Investor (e.g. a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President, or Treasurer) or any other individual who regularly performs similar functions, required details listed below:

Natural Person

Full Legal Name:
Date of Birth:
Nationality:
Occupation:
Source of Wealth/Funds:

NO Beneficial Owner who owns/controls 25% or more of the investment are considered Politically Exposed Persons as defined below.

In relation to the above, should there be any subsequent changes to the ownership or control structure; the beneficial ownership will be declared voluntarily and immediately. We will supply Apex with any documentation and information in order to establish and prove the submitted details.

Furthermore, we confirm that we are not aware of any activities on the part of the investors that lead us to suspect that the Owners are or have been involved in criminal conduct of money laundering. Should we subsequently become suspicious of any such activity then, subject to any legal constraints, we shall inform the AML Officer at Apex/the relevant regulatory authorities accordingly.

We further confirm that in the event of an enquiry, copies of the relevant customer details will be made available to Apex.

The undersigned declares that the details given are true and correct in regards to the beneficial ownership and control structure of "Name of Investor".

Signed: _____

Date: ____/____/____

Name: _____

Position: _____

Contact Details: _____

**** Please note that the declaration must be completed by an authorized individual(s) from the Entity. It must be completed on company letter headed paper.**

**** Further information on Ultimate Beneficial Ownership information may be requested.**

**** Definition of a Politically Exposed Person - applies to persons who perform important public functions or senior political figures. Including but not limited to Heads of State, government and Cabinet Ministers, Judges, Senior Party functionaries, military leaders, ruling members of Royal Families, government officials and those directly related to them.**

AML Letter Confirmation

Letterhead of the Regulated Entity

{Date}

Apex Fund Services (Ireland) Limited

2nd Floor, Block 5
Irish Life Centre
Abbey Street Lower
Dublin D01 P767
Ireland

Re: [Name of Investor]

“Introductory section of the company, its regulated status and registration number”

“Name of the Entity” hereby confirms the following in accordance with the standards of a prudent professional and the applicable laws and regulations:

1. We maintain Anti Money Laundering & Counter Terrorist Financing (“**AML/CTF**”) **policies** applicable to all employees and an on-going training program. We have implemented **related procedures** and controls including a procedure on suspicious activity reports;
2. We perform a **risk assessment of the underlying investor, mandates and proxy holders** using a combination of relevant risk factors prior entering into a business relationship and obtain information on the purpose of the business relationship (**Risk Based Approach**);
3. We perform the **identification and verification** of the identity of the underlying investor based on the initial risk assessment. Where applicable, the identification and verification of the **identity of our clients, beneficial owners, controlling parties and proxy holders** is performed, such that the ownership and control structure of the underlying investors in particular legal persons, trusts and similar legal arrangements are understood and risks are assessed;
4. We confirm the underlying investor is _____ and the underlying beneficial owner/s is/are _____.
5. We perform **enhanced due diligence on higher risk** underlying investors and their beneficial owners, where applicable, including **politically exposed persons** and, where a relationship is established with countries or territories which do not or insufficiently apply AML-CTF measures;
6. We perform **on-going monitoring** of the business relationship to maintain KYC information current including detection of unusual transactions which are not consistent with the expected business activity, and where necessary, the origin of funds and origin of wealth;
7. We perform **sanctions screening prior the account opening and on an on-going basis** of the underlying investors, their beneficial owners, mandate and proxy holders where applicable. The sanctions lists are amongst others, the resolutions of the United Nations Security Council as well as acts adopted by the European Commission regarding CTF/EU sanction list. [*In addition where applicable, we are required to comply with OFAC sanctions programs and perform sanctions screening against the listings of the US Department of Treasury, Office of Foreign Asset Control (“OFAC”)*];
8. We **retain investor due diligence documentation during a period of at least five years** following the end of the business relationship and **will make it available upon written request to Apex Ireland** notwithstanding any applicable rules on confidentiality or local secrecy laws.
9. We confirm that screening is performed on all of our employees and we will provide Apex with information relating to individuals such as full name, date of birth, place of birth, if required.

-
10. We will inform you immediately if we become aware of any introduced investor(s) engaging in activities which lead us to believe that such introduced investor(s) is involved in money laundering or terrorist activities to the extent permitted by law;
 11. We **do not enter into business relationship with shell banks** or accept shell banks as underlying investors or beneficial owners;
 12. We acknowledge that Apex Fund Services (Ireland) Limited is relying on us to satisfy their investor due diligence requirements with respect to **[Name of Investor]**.

Yours sincerely,

Authorized Signatory

Print Name:

Authorized Signatory

Print name:

Certification Requirements

- Certification must be made by independent 3rd party (self-certification is not acceptable)
- The certifying body must perform the certification in presence of the original documentation and must meet the client personally when certifying the documents
- Certification can only be made by a competent authority:
 - Notary Public
 - Embassy/Consular Staff
 - Commissioner of Oaths (which register number is available)
 - Justice of the Peace
 - A director or authorised signatory of a regulated financial institution in an approved jurisdiction
 - Lawyer or solicitor
 - Chartered & Certified Public Accountants
 - Police Officer/An Garda Siochana
- Certification must be dated within 6 months
- Certification wording should state:
 - That the document is a true copy of the original document
 - That the document has been seen and verified by the certifier
 - Where an ID document, that the photo is a true likeness of the individual
 - Date of Certification
 - Name, Title, signature, registration number and contact details of the Certifier (i.e. if is a financial institution – name of bank, person, title, phone, etc.)

Part One

Entity Self-Certification

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an *)

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification".

Section 1: Investor Identification

Investor Name: _____ (the "Entity")

Country of Incorporation or Organisation: _____

Current Registered Address*:

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, town, State, Province or County: _____

Postal Code: _____ Country: _____

Country of Incorporation: _____

Section 2: FATCA Declaration Specified U.S. Person:

Please tick either (a) or (b) below and complete as appropriate.

a) The Entity **is** a *Specified U.S. Person* and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____

Or

b) The Entity **is not** a *Specified U.S. Person* (please also complete Sections 3 and 4 and 5)

Or

c) The Entity is a US person but **not** a *Specified U.S. Person* (please also complete Sections 3, 4 and 5)

Section 3: Entity's FATCA Classification* (the information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA:

If the Entity is a *Financial Institution*, please tick one of the below categories and provide the Entity's GIIN at 3.2

I.	<i>Irish Financial Institution or a Partner Jurisdiction Financial Institution</i>	
II.	<i>Registered Deemed Compliant Foreign Financial Institution</i>	
III.	<i>Participating Foreign Financial Institution</i>	

3.2 Please provide the Entity's *Global Intermediary Identification number (GIIN)* _____

3.3 If the Entity is a *Financial Institution* but unable to provide a *GIIN*, please tick one of the below reasons:

I.	The Entity has not yet obtained a <i>GIIN</i> but is sponsored by another entity which does have a <i>GIIN</i> Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name: _____ Sponsor's <i>GIIN</i> : _____	
II.	<i>Exempt Beneficial Owner</i>	
III.	<i>Certified Deemed Compliant Foreign Financial Institution</i>	
IV.	<i>Non-Participating Foreign Financial Institution</i>	
V.	<i>Excepted Foreign Financial Institution</i>	

3.4 Non-Financial Institutions under FATCA:

If the Entity is not a *Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Foreign Entity</i>	
II.	<i>Passive Non-Financial Foreign Entity</i> <i>(If this box is ticked, please include self-certification forms for each of your Controlling Persons)</i>	
III.	<i>Excepted Non-Financial Foreign Entity</i>	

Section 4: CRS Declaration of Tax Residency (please note that you may choose more than one country)*:

Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN")).

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

Section 5: Entity's CRS Classification* (The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3):

For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, please tick one of the below categories

I.	<i>Financial Institution under CRS (other than (II) below)</i>	
II.	An <i>Investment Entity</i> located in a <i>Non-Participating Jurisdiction</i> and managed by another <i>Financial Institution</i> (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons **)	

5.2 Non Financial Institutions under CRS:

If the Entity is a *Non Financial Institution*, please tick one of the below categories

I.	<i>Active Non-Financial Entity</i> – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation
II.	<i>Active Non-Financial Entity</i> – a Government Entity or Central Bank
III.	<i>Active Non-Financial Entity</i> – an International Organisation
IV.	<i>Active Non-Financial Entity</i> – other than (I)-(III) (for example a start-up NFE or a non-profit NFE)
V.	<i>Passive Non-Financial Entity</i> (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))

****Controlling Persons:**

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 6: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*:

Print Name(s)*:

Capacity in which declaration is made*:

Date: (dd/mm/yyyy)* _____

Part Two

Individual Self-Certification - Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at

<http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

Section 1: Investor Identification

Investor Name: _____

Current Residential Address:

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal Code: _____ Country: _____

Mailing address (if different from above):

Number: _____ Street: _____

City, Town, State, Province or County: _____

Postal Code: _____ Country: _____

Place of Birth*

Town or City of Birth*: _____ **Country of Birth*:** _____

Date of Birth: _____

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*:

Please tick either (a) or (b) and complete as appropriate.

(a) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows:

OR

(b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section 4 – Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

Please confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person – control by other means		
Controlling Person of a legal person – senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust - trustee		
Controlling Person of a trust - protector		
Controlling Person of a trust - beneficiary		
Controlling Person of a trust - other		
Controlling Person of a legal arrangement (non-trust) - settlor equivalent		

Controlling Person of a legal arrangement (non-trust) - trustee equivalent		
Controlling Person of a legal arrangement (non-trust) - protector equivalent		
Controlling Person of a legal arrangement (non-trust) - beneficiary equivalent		
Controlling Person of a legal arrangement (non-trust) - other equivalent		

Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature*: _____

Print Name*: _____

Date: (dd/mm/yyyy)*: _____

Capacity*: _____

DATA PRIVACY STATEMENT

In accordance with the General Data Protection Regulation (697/2016/EU) (the “**GDPR**”) and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003) (collectively, “**Data Protection Legislation**”) The Multi-Manager UCITS Platform Fund plc (the “**Fund**”) being a data controller, must provide you with information on how the personal data that you provide as part of your subscription to shares in the Fund will be processed by the Fund, its service providers and delegates and their duly authorised agents and any of their respective related, associated or affiliated companies.

As a consequence of your investment, the Fund acting as a data controller may itself (or through third parties including but not limited to Apex Fund Services (Ireland) Limited (the “**Administrator**”) and European and Global Investments Limited (the “**Manager**”) together with any distributor or sub-distributors that may be appointed from time to time (collectively the “**Distributor**”), local paying agents and mailing firms appointed by any of the foregoing (together the “**Service Providers**”)) process your personal information or, to the extent that you are a non-natural person, that of your directors, officers, employees, intermediaries and/or beneficial owners. Save where otherwise expressly provided, any reference in this Data Privacy Statement to “you” or “your” in the context of processing personal data of data subjects shall, in the case that you are a non-natural person, be understood to mean and relate to the personal data of your directors, officers, employees, intermediaries and/or beneficial owners as the context may require.

In this regard, please note the following:

Purposes of Processing and Legal Basis for Processing

The personal data collected from you or provided by you or on your behalf in connection with your holdings in the Fund will be collected, stored, disclosed, used and otherwise processed by the Service Providers on behalf of the Fund for the purposes outlined in the table below.

Processing Activity by or on behalf of the Fund	Legal Basis for Processing
Where you are a natural person, managing and administering your holdings in the Fund and any related account on an ongoing basis.	Performance of the contract between the Fund and you.
Where you are a natural person, disclosures to third parties such as auditors, regulatory, tax authorities and technology providers in the context of the day to day operations of the Fund.	Performance of the contract between the Fund and you.
Where you as an investor are a non-natural person, disclosures to third parties such as auditors, regulatory bodies, tax authorities and technology providers in the context of the day to day operations of the Fund;	Pursuing the legitimate interests of the Fund in managing and administering the holdings of the non-natural person in the Fund and any related account on an ongoing basis;
Complying with any applicable legal, tax or regulatory obligations	Compliance with a legal obligation to which the Fund is subject.

Processing Activity by or on behalf of the Fund	Legal Basis for Processing
imposed on the Fund including legal obligations under Fund law, the UCITS Regulations, CBI UCITS Regulations, under tax law and under anti-money laundering/counter terrorist financing legislation.	
(i) Carrying out statistical analysis; (ii) Recording, maintaining, storing and using recordings of telephone calls and electronic communications that you make to and receive from the Fund, the Service Providers and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for any matters related to investment in the Fund, dispute resolution, record keeping, security and/or training purposes.	Compliance with a legal obligation to which the Fund is subject. Pursuing the legitimate interests of the Fund. Further information relating to any balancing test undertaken by a Service Provider as applicable to rely on legitimate interests as grounds in respect of such processing is available upon request.

Please note that where personal data is processed for purposes of legitimate interests, you have a right to object to such processing and the Fund and its appointed Service Providers will no longer process the personal data unless it can be demonstrated that there are compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims.

Profiling and Screening

The Fund and its appointed Service Providers may engage in PEP screening and financial sanctions screening programs defined by the European Union (“EU”), the United Nations (“UN”), Her Majesty’s Treasury (“HMT”) and the Office of Foreign Assets Control (“OFAC”) for the purposes of complying with anti-money laundering and counter terrorist financing legislation and with UN, EU and other applicable sanctions regimes. The implementation of such PEP screening and financial sanctions screening programmes may result in the Fund or its Service Providers refusing an application for Shares in the Fund or delaying or refusing to make any redemption payment or distribution payment to you if you, your directors or any beneficial owner of your Shares appear on such screening programmes. In the event that you are identified as a PEP as a result of the screening process, you may be required to provide additional information and/or documentation to the Fund or its Service Providers.

Undertaking in connection with other parties

By providing personal data to the Fund, you undertake to be authorised to disclose to the Fund relevant information applicable to the beneficial owner of the investment, to your directors and authorised signatories and to persons that own, directly or indirectly, an interest in the Fund. In this respect you confirm that you have provided these persons with all the information required under applicable data protection law, notably regarding their data protection rights, and received from these persons their authorisation for the processing and transfer of their personal data to us.

Disclosures to Service Providers and / or Third Parties

Personal data relating to you which is collected from you or provided by you or on your behalf may be handled by Service Providers appointed by the Fund and its or their duly appointed agents and any related, associated or affiliated companies for the purposes specified above.

These Service Providers will be obliged to adhere to the data protection laws of the countries in which they operate.

The Fund, the Administrator and /or the Manager may disclose your personal data to other third parties where required by law or for legitimate business interests. This may include disclosure to third parties such as auditors and the Central Bank of Ireland, regulatory bodies, taxation authorities and technology providers.

Transfers Abroad

Personal data collected from you or provided by you or on your behalf may be transferred outside of Ireland including to companies situated in countries outside of the European Economic Area (“EEA”) which may not have the same data protection laws as in Ireland.

Where data transfers outside of the EEA take place, the Fund and/or the relevant Service Provider have taken the necessary steps to ensure that appropriate safeguards have been put in place to protect the privacy and integrity of such personal data, in particular the implementation of model contracts by the Service Providers and their affiliates.

Data Retention Period

The Fund and its appointed Service Providers will retain all information and documentation provided by you in relation to your investment in the Fund for such period of time as may be required by Irish legal and regulatory requirements, being at least six years after the period of your investment has ended or the date on which you had your last transaction with the Fund.

Your data protection rights

Please note that you have the following rights under the GDPR. In each case, the exercise of these rights is subject to the provisions of the GDPR:

- (i) You have a right of access to and the right to amend and rectify your personal data.
- (ii) You have the right to have any incomplete personal data completed.
- (iii) You have a right to lodge a complaint with a supervisory authority, in particular in the Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the Fund infringes the GDPR.
- (iv) You have a right to be forgotten (right of erasure of personal data).
- (v) You have a right to restrict processing.
- (vi) You have a right to data portability.

(vii) You also have the right to object to processing where personal data is being processed for direct marketing purposes and also where the Fund or a Service Provider is processing personal data for legitimate interests.

Where you wish to exercise any of your data protection rights against the Fund or its Service Providers, please contact us via the details provided below under “Contact Us”.

The Fund or its Service Provider will respond to your request to exercise any of your rights under the GDPR in writing, as soon as practicable and in any event **within one month** of receipt of your request, subject to the provisions of the GDPR. The Fund or its Service Provider may request proof of identification to verify your request.

Failure to provide personal data

As outlined in the section titled “**Purposes of Processing and Legal Basis for Processing**”, the provision of personal data by you is required for us to manage and administer your holdings in the Fund and so that we can comply with the legal, regulatory and tax requirements referenced above. Where you fail to provide such personal data in order to comply with anti-money laundering/counter terrorist financing or other legal requirements, in certain circumstances, we may be prohibited from making redemption or any applicable dividend payments to you **and/or** may be required to discontinue our business relationship with you by compulsorily redeeming your shareholding in the Fund.

Contact us

If you have any queries in relation to this matter, please do not hesitate to contact the Manager on +353 1 237 4689 (weekdays 9.00am to 5.00pm) or at the following email address: info@egifunds.com.